

# DEEP LEARNING : FOCUS ON AUTOENCODERS AS A PRE-PROCESSING STEP FOR CLASSIFICATION

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1. Introduction
2. Dimensionality reduction
3. Examples in Hyperspectral imaging
4. Conclusion

## INTRODUCTION

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- **Supervised Classification** : give label to unlabelled data, learn how to label with examples
- **Unsupervised Classification** or **Clustering** : group similar data

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## Examples

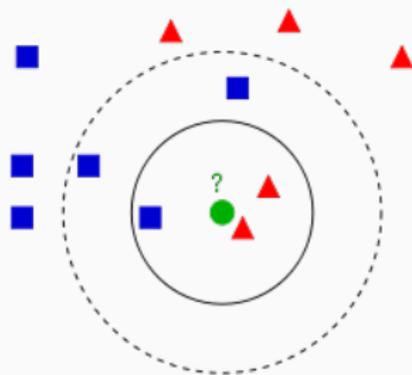
- E-mail classification: *spam* or *ham*

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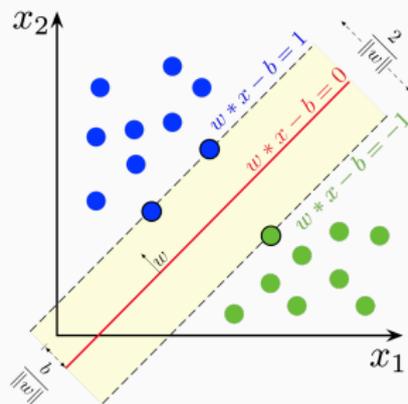
- E-mail classification: *spam* or *ham*
- CME classification
- detection of groups of similar website visitors

- k-Nearest Neighbors



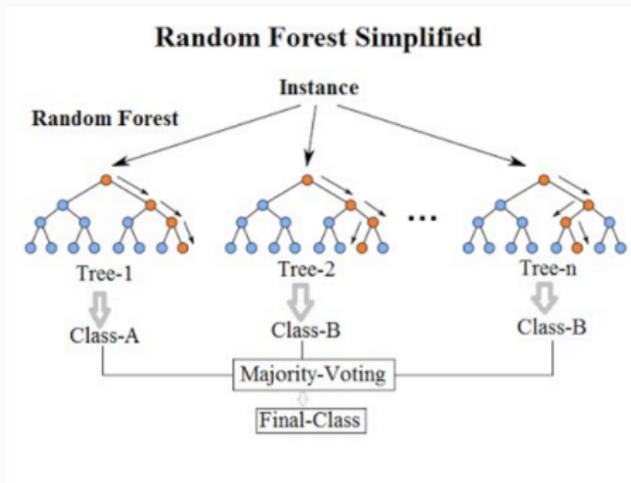
# NON EXHAUSTIV LIST OF CLASSIFICATION METHODS

- k-Nearest Neighbors
- Support Vector Machine



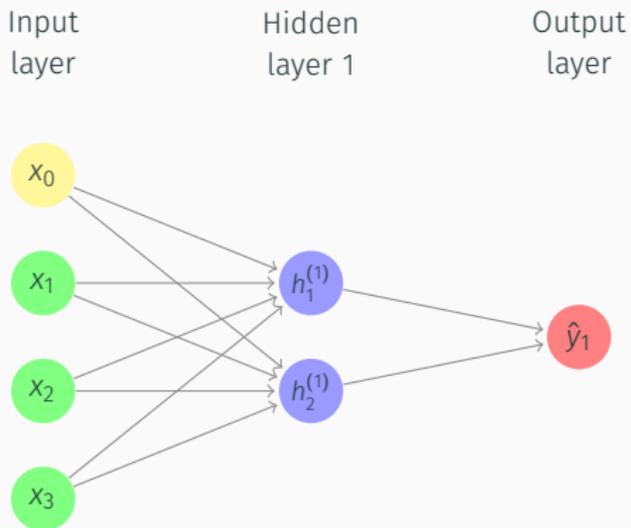
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# NON EXHAUSTIV LIST OF CLASSIFICATION METHODS

- k-Nearest Neighbors
- Support Vector Machine
- Decision Tree and Random Forest
- Deep Learning (Neural networks)



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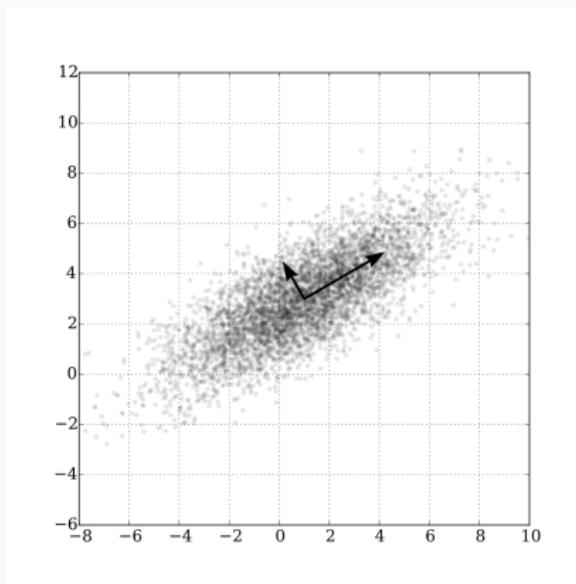
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- high dimension feature space => enormous amount of training data
- overfitting // poor generalization: neural network learn "by heart"
- Need of dimensionality reduction tools
  - feature selection: selection of a subset of relevant features
  - feature extraction: build derived informative and non-redundant features

## DIMENSIONALITY REDUCTION

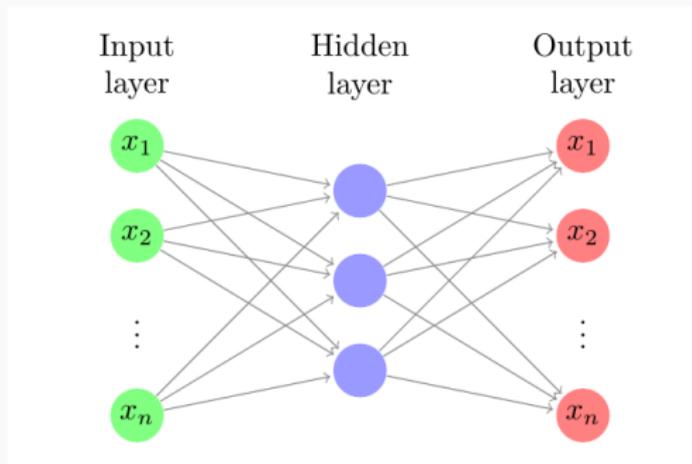
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- [PCA](#) Principal Component Analysis
- main idea: find projection on the hyperplane that lies closest to the data
- preserve the maximum amount of variance
- minimize the mean square error between original datasets and projected datasets

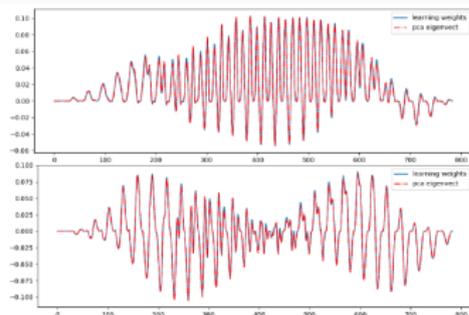
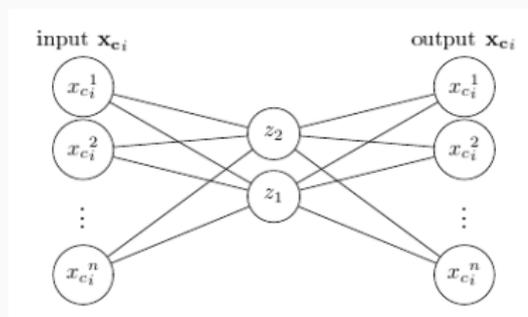


# AUTOENCODERS

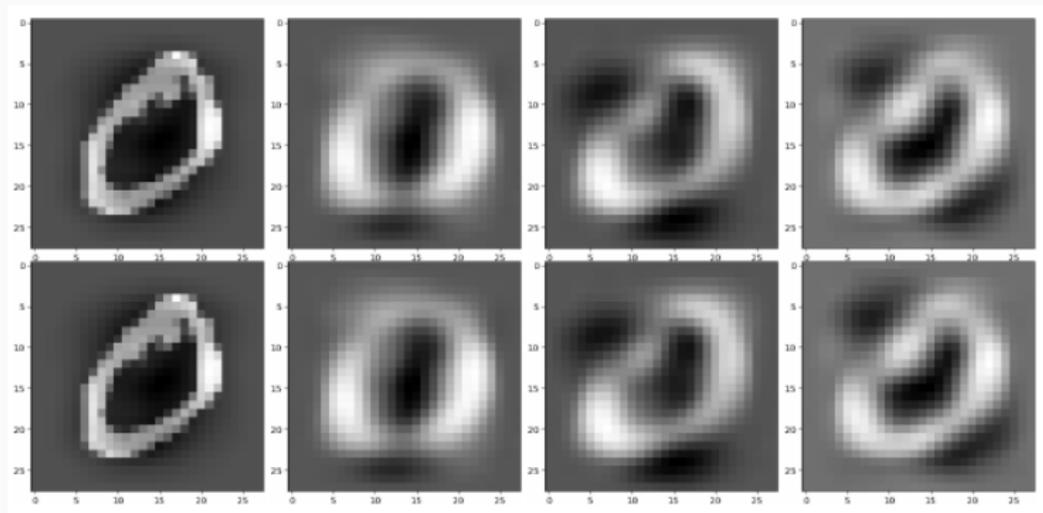
- **Autoencoders** (auto-associative neural network) : use to learn efficient representation of the input data
- learn the identity: copy inputs to outputs, minimize MSE between inputs and output



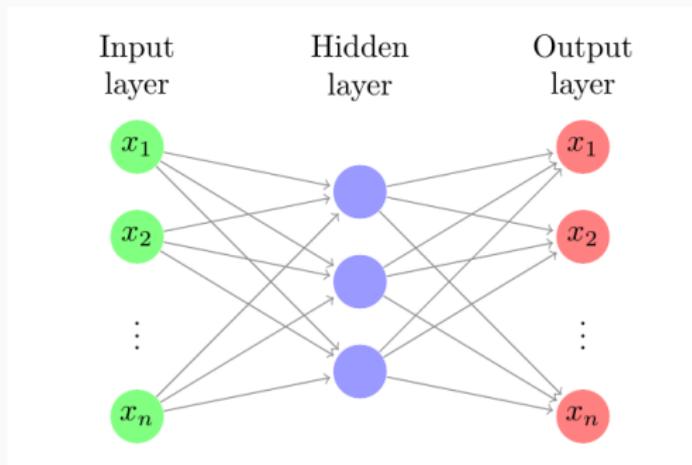
- using tie Autoencoder, with MSE and with linear activations one can see that PCA and Autoencoders are equivalent



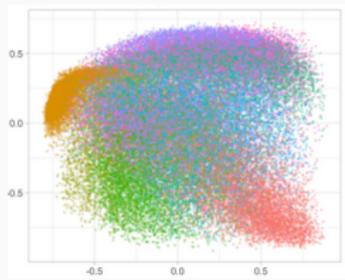
- reconstruction from 784 dimensions to 1, 2 and 10 dimensions



# UNDERCOMPLETE AUTOENCODERS

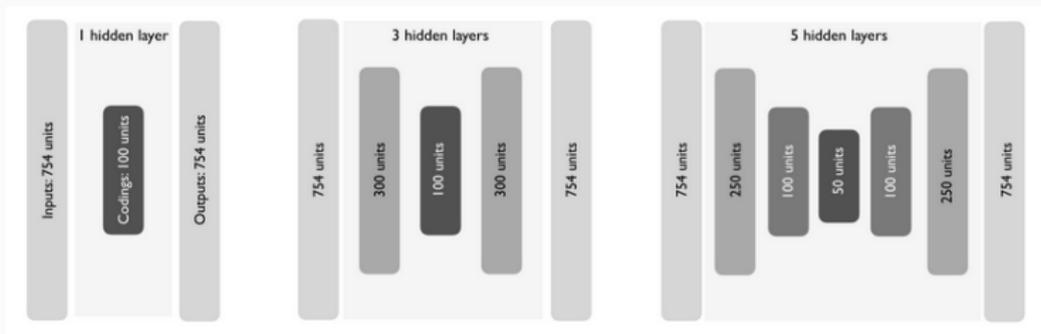


- hidden layer if smaller than input and output layers
- the network needs to learn a lossy compression of datasets
- possibility to add prior (weight regularisation)



# STACKED AUTOENCODERS

- stack several hidden layers
- representation of more complex relationship for compression
- learn sequentially each hidden layer, group all layers for fine tuning



## EXAMPLES IN HYPERSPECTRAL IMAGING

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- Stack of images
- Each image = narrow wavelength range of electromagnetic spectrum

# HYPERSPECTRAL IMAGING

- Stack of images
- Each image = narrow wavelength range of electromagnetic spectrum

Example:

- AVARIS Sensor
- 145x145 pixels images
- 224 bands (0.4 to  $2.5 \times 10^{-6}$  meters)
- agriculture, forest, natural vegetation



■ Corn-no till	■ Grass/trees
■ Corn-min till	■ Grass/pasture-mowed
■ Corn	■ Hay-windrowed
■ Soybeans-no till	■ Oats
■ Soybeans-min till	■ Wheat
■ Soybeans-clean till	■ Woods
■ Alfalfa	■ Bldg-Grass-Tree-Drives
■ Grass/pasture	■ Stone-steel towers

# Spectral-Spatial Classification of Hyperspectral Image Using Autoencoders

Zhouhan Lin, Yushi Chen, Xing Zhao

Gang Wang

**Abstract**—Hyperspectral image (HSI) classification is a hot topic in the remote sensing community. This paper proposes a new framework of spectral-spatial feature extraction for HSI classification, in which for the first time the concept of deep learning is introduced. Specifically, the model of autoencoder is exploited in our framework to extract various kinds of features. First we verify the eligibility of autoencoder by following classical spectral information based classification and use autoencoders with different depth to classify hyperspectral image. Further in the proposed framework, we combine PCA on spectral dimension and autoencoder on the other two spatial dimensions to extract spectral-spatial information for classification. The experimental results show that this framework achieves the highest classification accuracy among all methods, and outperforms classical classifiers such as SVM and PCA-based SVM.

*Keywords*-autoencoders; deep learning; hyperspectral; image classification; neural networks; stacked autoencoders

## EXAMPLE 1: DATA

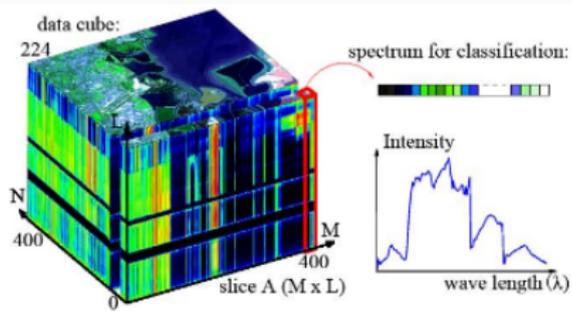
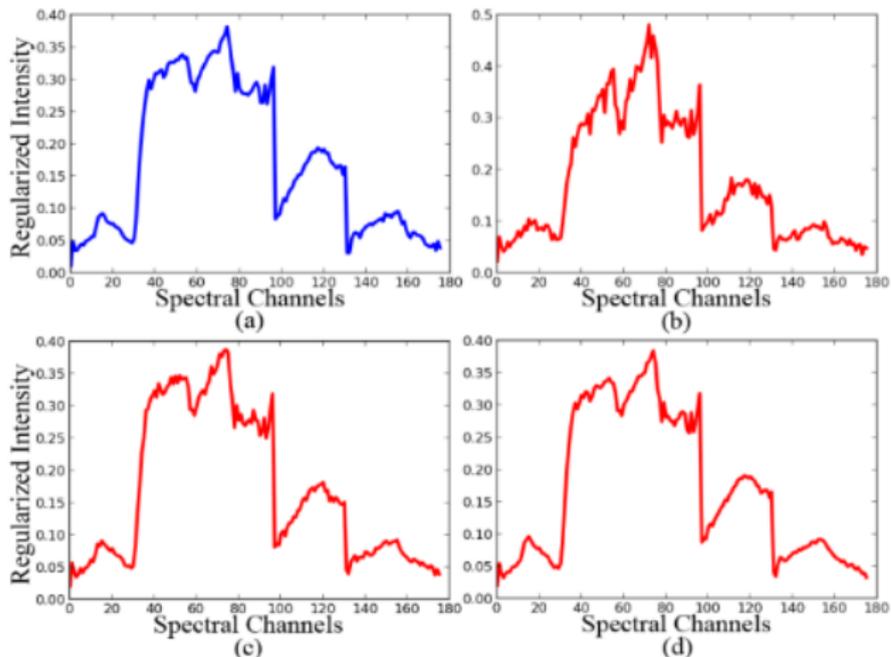


Figure 1. A typical scene of hyperspectral image. Each pixel consists of a whole spectrum.

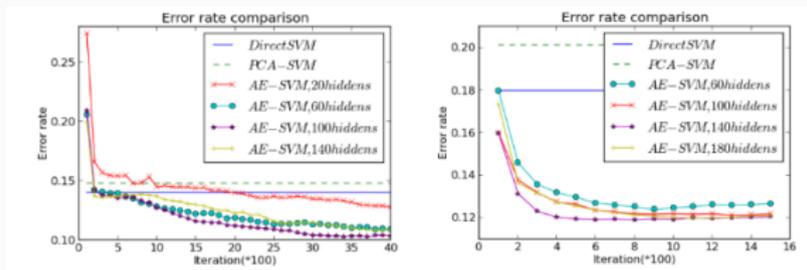
## EXAMPLE 1: AE

Reconstruction with 1, 100, 1000 epochs



# EXAMPLE 1: CLASSIFICATION RESULTS

Classification results (KSC and Pavia datasets)



## Novel segmented stacked autoencoder for effective dimensionality reduction and feature extraction in hyperspectral imaging

Jaime Zabalza<sup>a</sup>, Jinchang Ren<sup>a,\*</sup>, Jiangbin Zheng<sup>b</sup>, Huimin Zhao<sup>c</sup>, Chunmei Qing<sup>d</sup>, Zhijing Yang<sup>e</sup>, Peijun Du<sup>f</sup>, Stephen Marshall<sup>a</sup>

### A B S T R A C T

Stacked autoencoders (SAEs), as part of the deep learning (DL) framework, have been recently proposed for feature extraction in hyperspectral remote sensing. With the help of hidden nodes in deep layers, a high-level abstraction is achieved for data reduction whilst maintaining the key information of the data. As hidden nodes in SAEs have to deal simultaneously with hundreds of features from hypercubes as inputs, this increases the complexity of the process and leads to limited abstraction and performance. As such, segmented SAE (S-SAE) is proposed by confronting the original features into smaller data segments, which are separately processed by different smaller SAEs. This has resulted in reduced complexity but improved efficacy of data abstraction and accuracy of data classification.

## EXAMPLE 2: AE

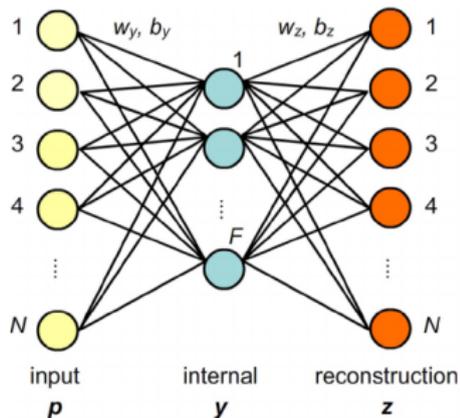


Fig. 1. Basic AE scheme.

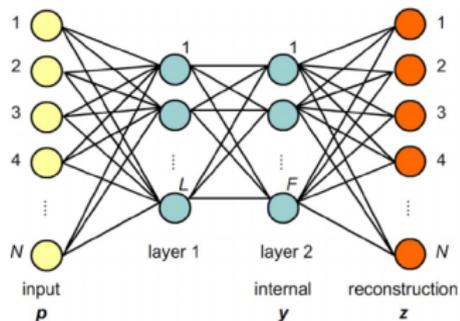


Fig. 2. Stacked AE scheme (2 layers).

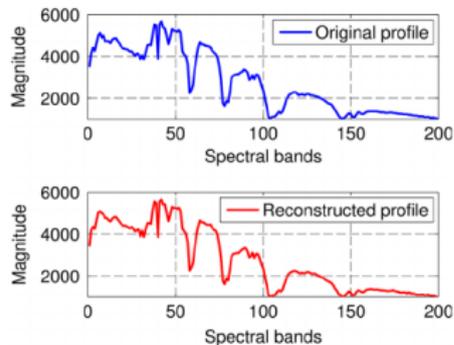


Fig. 3. Original spectral profile (top) and reconstructed one by SAE (bottom).

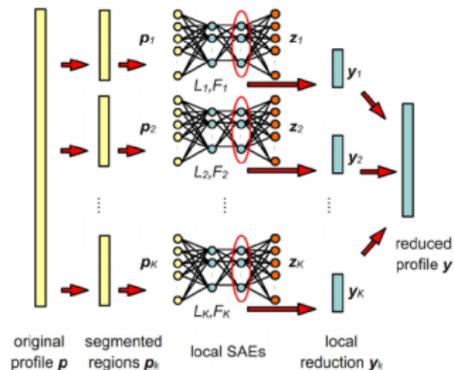
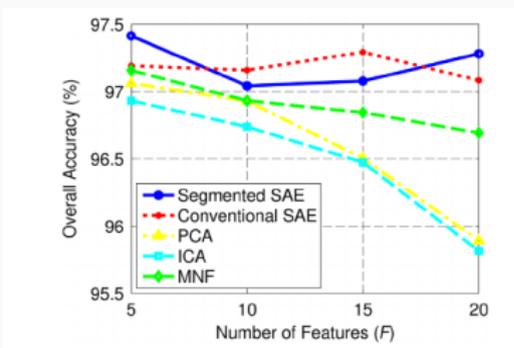
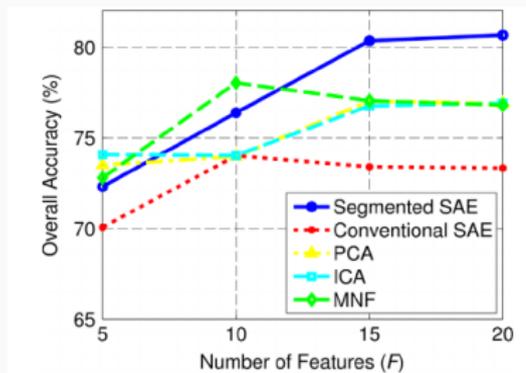


Fig. 4. S-SAE structure using several two-layer SAEs.

## EXAMPLE 2: RESULTS



## CONCLUSION

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- Autoencoders are powerful tools to perform dimensionality reduction
- Improvements of classification results using AE
- First step towards a full non supervised classification

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## Unsupervised Deep Embedding for Clustering Analysis

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## Abstract

Clustering is central to many data-driven application domains and has been studied extensively in terms of distance functions and grouping algorithms. Relatively little work has focused on learning representations for clustering. In this paper, we propose Deep Embedded Clustering (DEC), a method that simultaneously learns feature representations and cluster assignments using deep neural networks. DEC learns a mapping from the data space to a lower-dimensional feature space in which it iteratively optimizes a clustering objective. Our experimental evaluations on image and text corpora show significant improvement over state-of-the-art methods.

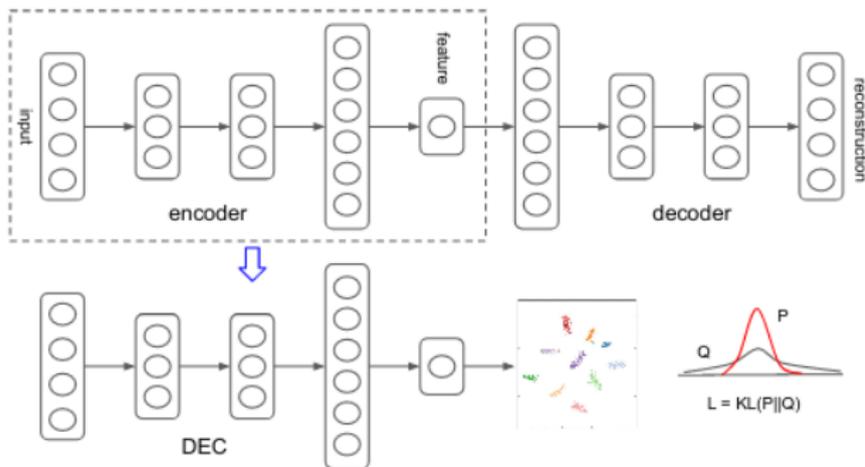
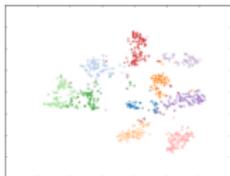
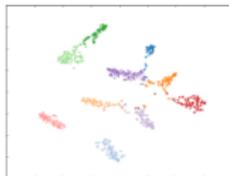


Figure 1. Network structure

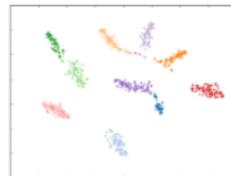
# DEEP EMBEDDED CLUSTERING



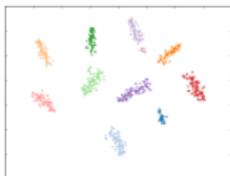
(a) Epoch 0



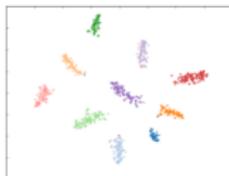
(b) Epoch 3



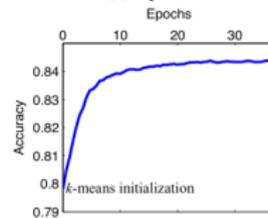
(c) Epoch 6



(d) Epoch 9



(e) Epoch 12



(f) Accuracy vs. epochs

